

Virtual Machine

Part II: Program Control

Usage and Copyright Notice:

Copyright 2005 © Noam Nisan and Shimon Schocken

This presentation contains lecture materials that accompany the textbook “The Elements of Computing Systems” by Noam Nisan & Shimon Schocken, MIT Press, 2005.

We provide both PPT and PDF versions.

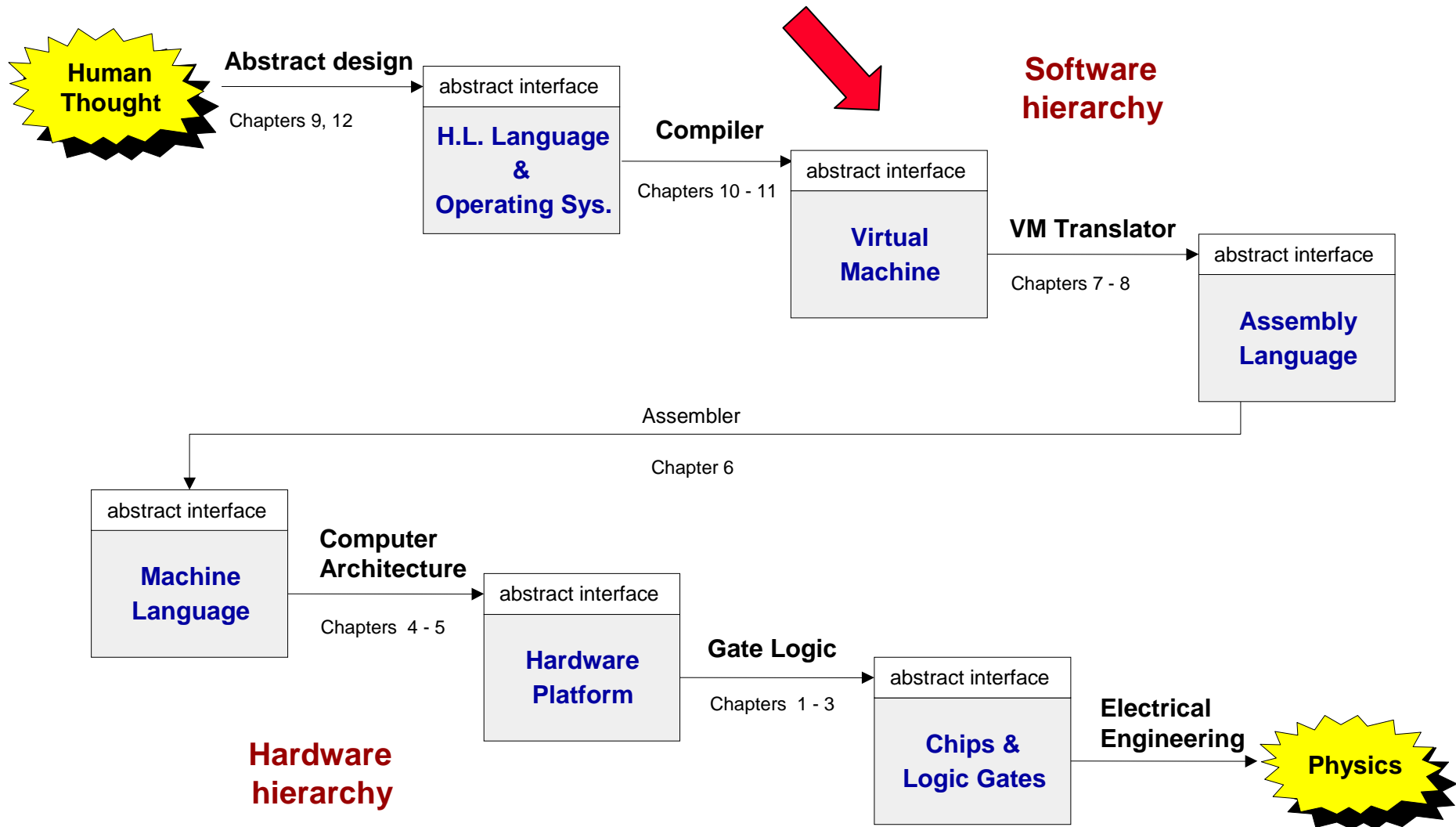
The book web site, www.idc.ac.il/tecs , features 13 such presentations, one for each book chapter. Each presentation is designed to support about 3 hours of classroom or self-study instruction.

You are welcome to use or edit this presentation as you see fit for instructional and non-commercial purposes.

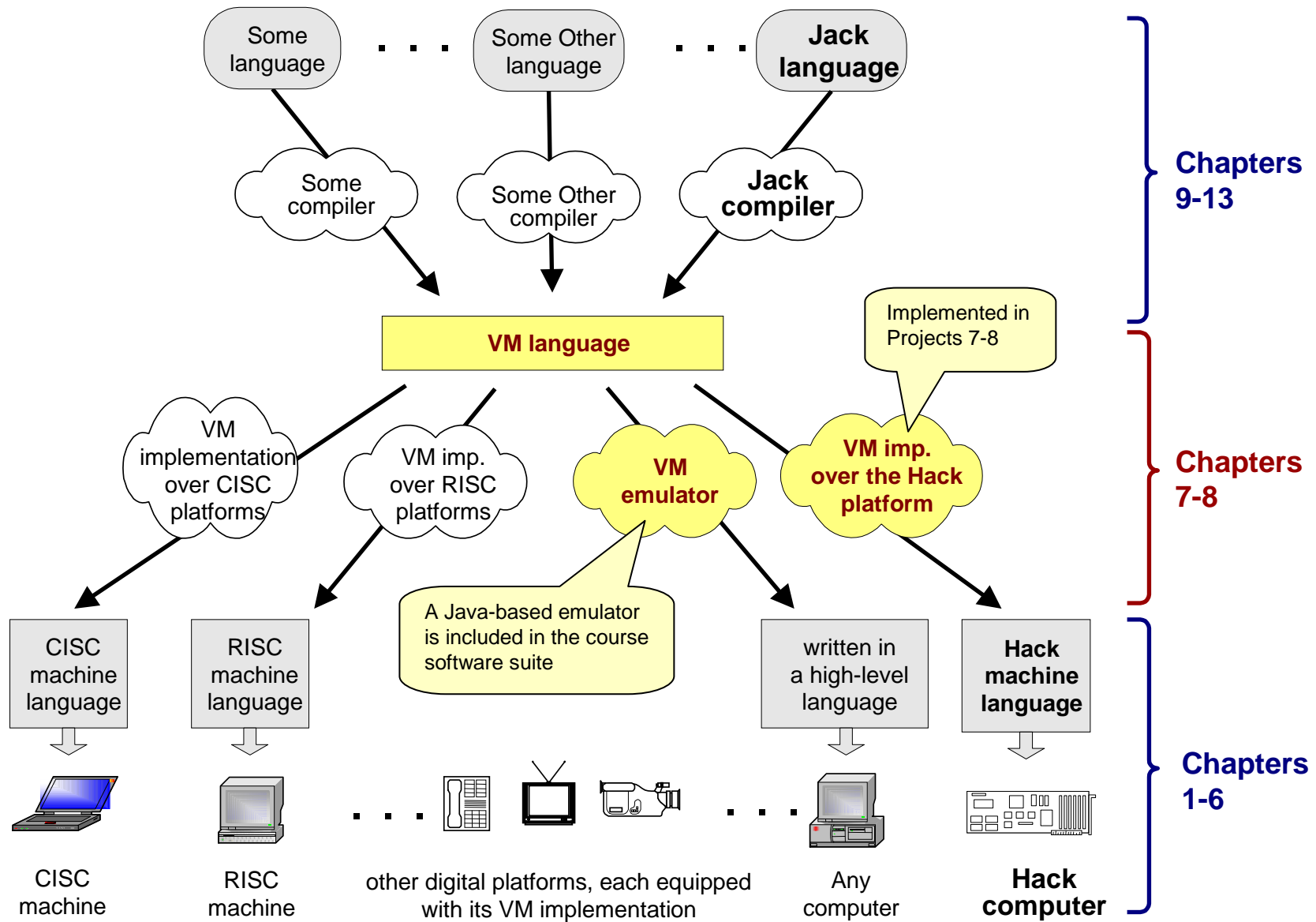
If you use our materials, we will appreciate it if you will include in them a reference to the book’s web site.

If you have any questions or comments, you can reach us at tecs.ta@gmail.com

Where we are at:



The big picture



Lecture plan

Goal: Specify and implement a VM model and language

Arithmetic / Boolean commands

add
sub
neg
eq
gt
lt
and
or
not

Previous
lecture

Memory access commands

pop segment i
push segment i

Program flow commands

label (declaration)
goto (label)
if-goto (label)

This
lecture

Function calling commands

function (declaration)
call (a function)
return (from a function)

Method: (a) specify the abstraction (model's constructs and commands)
(b) propose how to implement it over the Hack platform.

Program structure and translation path (on the Hack-Jack platform)

Jack source code (example):

```
class Foo {
  static int x1, x2, x3;
  method int f1(int x) {
    var int a, b;
    ...
  }
  method void f2(int x, int y) {
    var int a, b, c;
    ...
  }
  function int f3(int u) {
    var int x;
    ...
  }
}
```

```
class Bar {
  static int y1, y2;
  function void f1(int u, int v) {
    ...
  }
  method void f2(int x) {
    var int a1, a2;
    ...
  }
}
```



Jack source code:

```
class Foo {
  static staticsList;
  method f1(argsList) {
    var localsList;
    ...
  }
  method f2(argsList) {
    var localsList;
    ...
  }
  function f3(argsList) {
    var localsList;
    ...
  }
}
```

```
class Bar {
  static staticsList;
  function f1(argsList) {
    ...
  }
  method f2(argsList) {
    var localsList;
    ...
  }
}
```

Program structure and translation path (on the Hack-Jack platform)

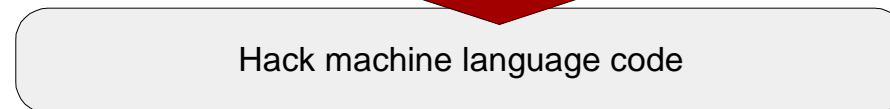
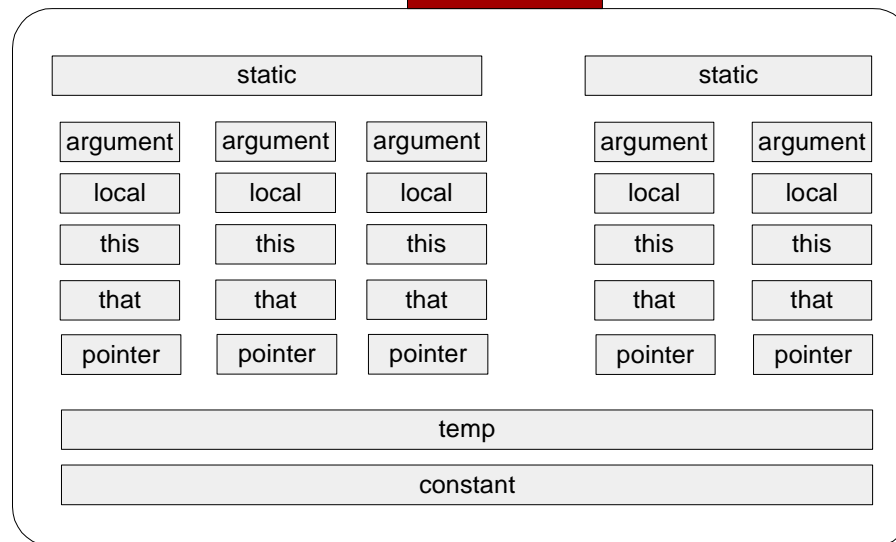
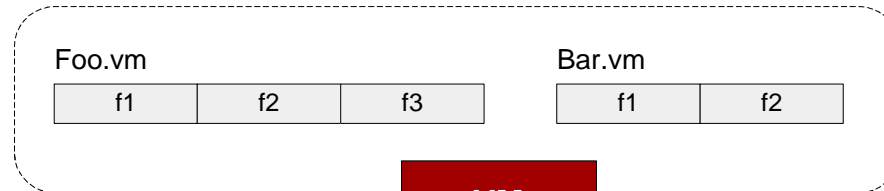
Jack source code:

```
class Foo {  
  static staticsList;  
  method f1(argsList) {  
    var localsList;  
    ...  
  }  
  method f2(argsList) {  
    var localsList;  
    ...  
  }  
  function f3(argsList) {  
    var localsList;  
    ...  
  }  
}
```

```
class Bar {  
  static staticsList;  
  function f1(argsList) {  
    ...  
  }  
  method f2(argsList) {  
    var localsList;  
    ...  
  }  
}
```



Following compilation:



The challenge ahead

$$x = (-b + \sqrt{b^2 - 4 \cdot a \cdot c}) / 2a$$

```
if ~(a = 0)
  x = (-b + sqrt(power(b,2) - 4 * a * c)) / (2 * a)
else
  x = - c / b
```

To translate such high-level code to VM code, we have to know how to handle:

- Arithmetic operations (last lecture)
- Boolean operations (last lecture)
- Program flow (this lecture, *easy*)
- Subroutines (this lecture, *less easy*)

In the Jack/Hack platform: all these abstractions are delivered by the VM level (rather than by the compiler).

Program flow

- `label c`
- `goto c`
- `if-goto c` // pop the topmost stack element;
// If it's not zero, jump

Implementation (by translation to assembly):

Simple. Label declarations and `goto` directives can be effected directly by assembly commands.

Example:

```
function mult 2
  push  constant 0
  pop   local 0
  push  argument 1
  pop   local 1
  label loop
  push  local 1
  push  constant 0
  eq
  if-goto end
  push  local 0
  push  argument 0
  add
  pop   local 0
  push  local 1
  push  constant 1
  sub
  pop   local 1
  goto  loop
  label end
  push  local 0
  return
```


Subroutines

```
if ~(a = 0)
    x = (-b + sqrt(power(b,2) - 4 * a * c)) / (2 * a)
else
    x = - c / b
```

Subroutines = a major programming artifact

- The primitive (given) language can be extended at will by user-defined commands (AKA *subroutines / functions / methods* ...)
- The primitive commands and the user-defined commands have the same look-and-feel
- Perhaps the most important abstraction delivered by programming languages. The challenge: to make the implementation of this abstraction as transparent as possible:

“A well-designed system consists of a collection of black box modules, each executing its effect like magic”
(Steven Pinker, *How The Mind Works*)

Subroutines usage at the VM level (pseudo code)

```
// x+2
push x
push 2
add
...
```

```
// x^3
push x
push 3
call power
...
```

```
// (x^3+2)^y
push x
push 3
call power
push 2
add
push y
call power
...
```

```
// Power function
// result = first arg
// raised to the power
// of the second arg.
function power
// code omitted
push result
return
```

Call-and-return convention

- The caller pushes the arguments, calls the callee, then waits for it to return
- Before the callee terminates (returns), the callee must push a return value
- At the point of return, the callee's resources are recycled, and the caller's state is re-instated
- **Caller's net effect:** the arguments were replaced by the return value (just like with primitive operations)

Behind the scene

- Recycling and re-instating subroutine resources and states is a major headache
- Some behind-the-scene agent (the VM or the compiler) should manage it "like magic"
- In our implementation, the magic is stack-based, and is considered a great CS gem.

Subroutine commands

- **function g $nVars$**

(Here starts a function called g , which has $nVars$ local variables)

- **call g $nArgs$**

(Invoke function g for its effect;
 $nArgs$ arguments have been pushed onto the stack)

- **Return**

(Terminate execution and return control to the calling function)

Implementation: Next few slides.

Aside: The VM emulator (Java-based, included in the course software suite)

The screenshot shows the Virtual Machine Emulator (1.4b3) interface. The main window displays a program list on the left, a code editor in the center, and several data structures on the right. The program list shows instructions like 'function Main.add 3', 'push constant 15', 'pop local 0', etc. The code editor shows a 'repeat' loop with 'vmstep;'. The right side features 'Static', 'Local', 'Argument', 'This', 'That', and 'Temp' registers. Below these are the 'Global Stack' and 'RAM' windows. The 'Global Stack' shows memory addresses from 264 to 278. The 'RAM' window shows memory addresses from 0 to 14, with 'SP: 0' highlighted. A blue callout bubble points to the 'Call Stack' window, which shows 'Sys.init', 'Main.main', and 'Main.add'. Orange callout boxes label various parts of the interface: 'emulator controls' (toolbar), 'virtual memory segments' (Local register area), 'default test script' (code editor), 'global stack' (Global Stack window), 'host RAM' (RAM window), 'VM code' (Program list), 'working stack' (Stack window), and 'Calling hierarchy' (Call Stack window).

The function-call-and-return protocol

- `function g nVars`
- `call g nArgs`
- `return`

The caller's view:

- Before calling the function, I must push as many arguments as needed onto the stack
- Next, I invoke the function using the `call` command
- After the called function returns:
 - The arguments that I pushed before the call have disappeared from the stack, and a return value (that always exists) appears at the top of the stack
 - All my memory segments (**argument**, **local**, **static**, ...) are the same as before the call.

Blue = function writer's responsibility

Black = black box magic, supplied by the VM implementation

In other words, we have to worry about the "black operations" only.

The callee's view:

- When I start executing, my **argument** segment has been initialized with actual argument values passed by the caller
- My **local** variables segment has been allocated and initialized to zero
- The **static** segment that I see has been set to the **static** segment of the VM file to which I belong, and the working stack that I see is empty
- Before exiting the function, I must push a value onto the stack and then `RETURN`.

```
■ function g nVars  
■ call g nArgs  
■ return
```

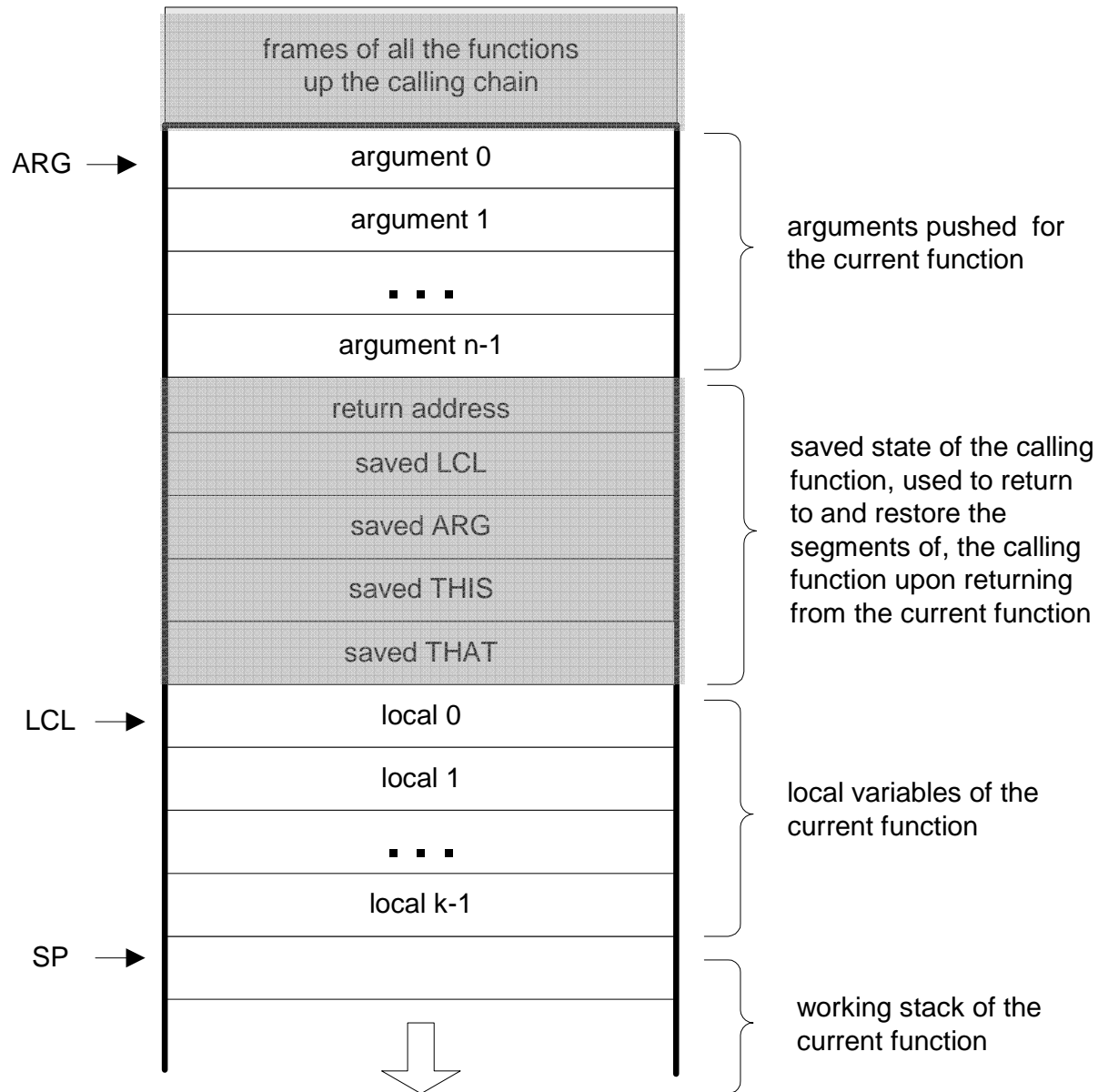
When function f calls function g , I must:

- Save the return address
- Save the virtual segments of f
- Allocate, and initialize to 0, as many local variables as needed by g
- Set the local and argument segment pointers of g
- Transfer control to g .

When g terminates and control should return to f , I must:

- Clear g 's arguments and other junk from the stack
- Restore the virtual segments of f
- Transfer control back to f
(jump to the saved return address).

The VM implementation storage housekeeping = the stack



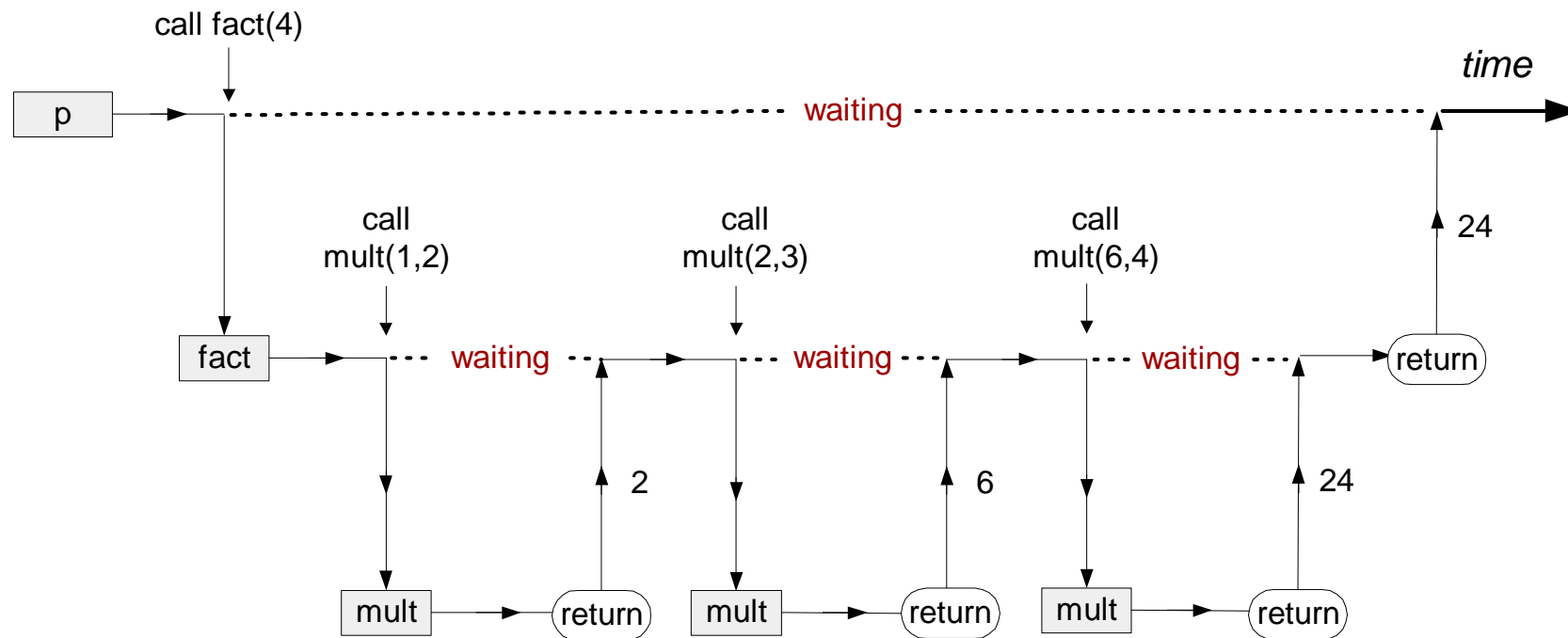
- At any point of time, some functions are waiting, and only the current function is running
- Shaded areas: irrelevant to the current function
- The current function sees only the top of the stack (AKA *working stack*)
- The rest of the stack holds the frozen states of all the functions up the calling hierarchy
- Physical storage details depend on the VM implementation.

Example: a typical calling scenario

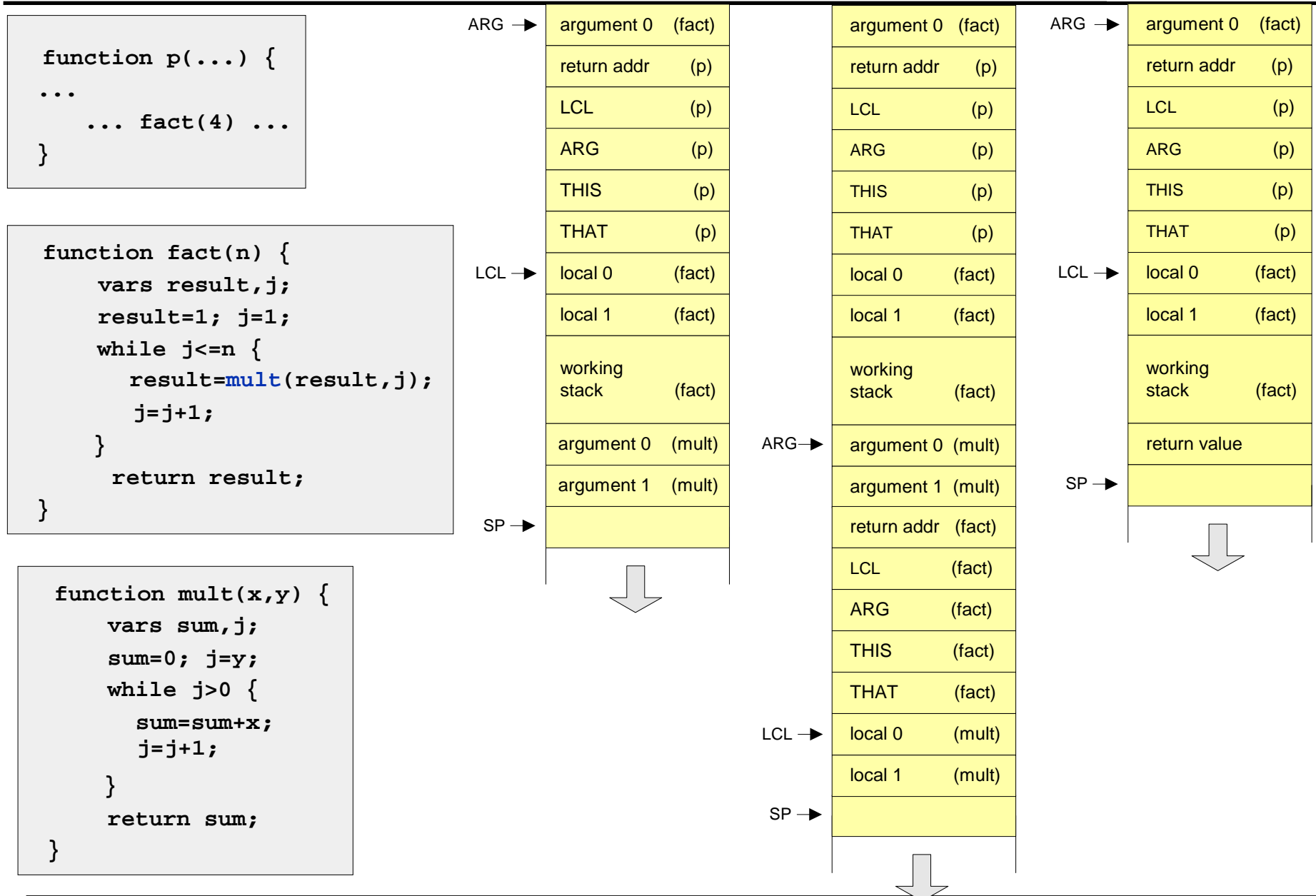
```
function p(...) {  
  ...  
  ... fact(4) ...  
}
```

```
function fact(n) {  
  vars result,j;  
  result=1; j=1;  
  while j<=n {  
    result=mult(result,j);  
    j=j+1;  
  }  
  return result;  
}
```

```
function mult(x,y) {  
  vars sum,j;  
  sum=0; j=y;  
  while j>0 {  
    sum=sum+x;  
    j=j+1;  
  }  
  return sum;  
}
```



Behind the scene:

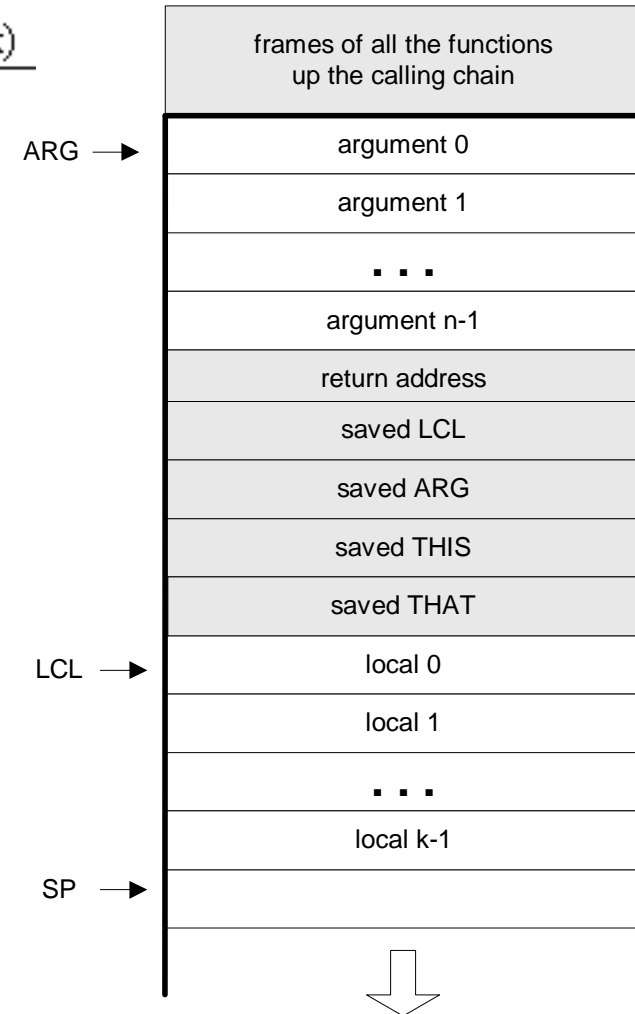


Implementing the `call f n` command

`call f n`

(calling a function `f` after `n` arguments have been pushed onto the stack)

```
push return-address // (Using the label declared below)
push LCL            // Save LCL of the calling function
push ARG           // Save ARG of the calling function
push THIS          // Save THIS of the calling function
push THAT         // Save THAT of the calling function
ARG = SP - n - 5   // Reposition ARG (n = number of args)
LCL = SP           // Reposition LCL
goto f             // Transfer control
(return-address)  // Declare a label for the return-address
```



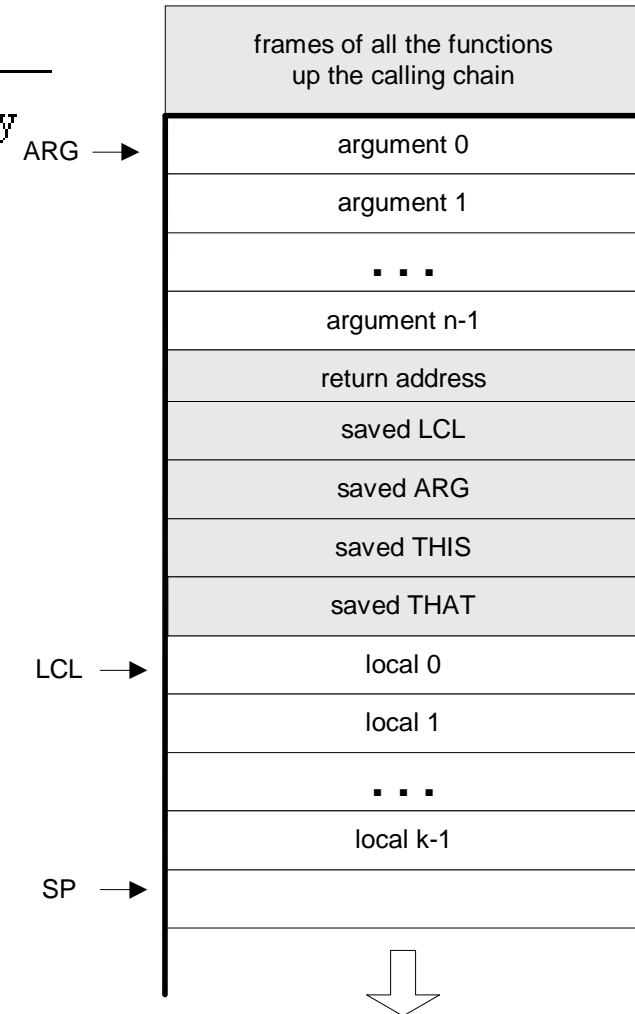
- If the VM is implemented as a program that translates VM code to assembly code, the translator should generate the above logic in assembly.

Implementing the `function f k` command

`function f k`

(declaring a function `f` that has `k` local variables)

```
(f)          // Declare a label for the function entry
repeat k times: // k = number of local variables
  PUSH 0      // Initialize all of them to 0
```



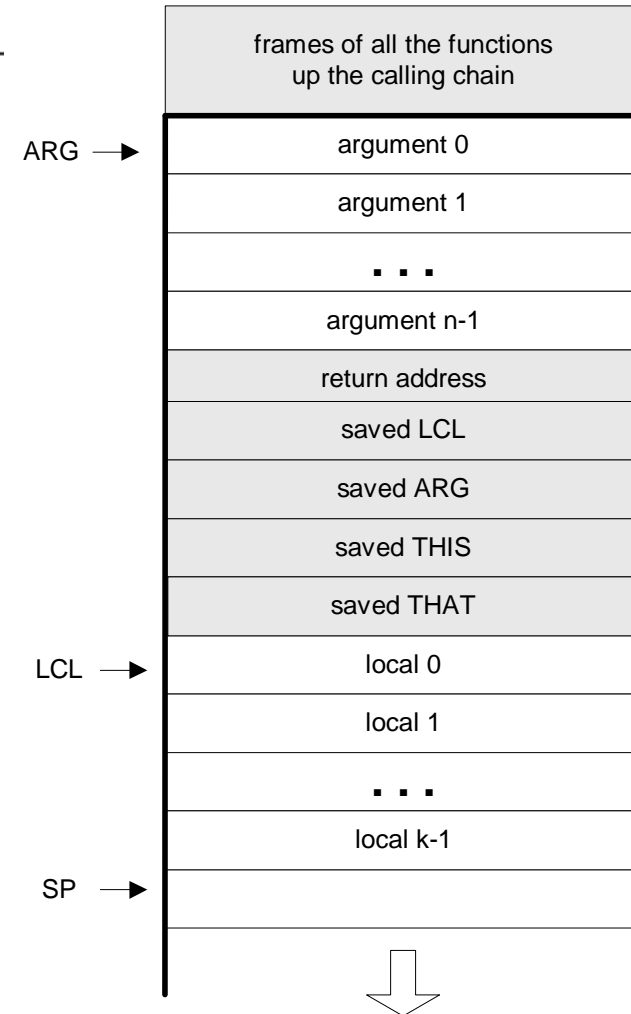
- If the VM is implemented as a program that translates VM code to assembly code, the translator should generate the above logic in assembly.

Implementing the `return` command

`return`

(from a function)

```
FRAME=LCL           // FRAME is a temporary variable
RET=* (FRAME-5)     // Put the return-address in a temp. variable
*ARG=pop ()        // Reposition the return value for the caller
SP=ARG+1           // Restore SP of the caller
THAT=* (FRAME-1)   // Restore THAT of the caller
THIS=* (FRAME-2)   // Restore THIS of the caller
ARG=* (FRAME-3)    // Restore ARG of the caller
LCL=* (FRAME-4)    // Restore LCL of the caller
goto RET           // Goto return-address (in the caller's code)
```



- If the VM is implemented as a program that translates VM code to assembly code, the translator should generate the above logic in assembly.

One more detail: bootstrapping

- A high-level Jack program (AKA *application*) is a set of class files. By a Jack convention, one class must be called `Main`, and this class must have at least one function, called `main`. The contract: when we tell the computer to execute the program, the function `Main.main` starts running

Implementation:

- After the program is compiled, each class file is translated into a `.vm` file
- From the host platform's standpoint, the operating system is also a set of `.vm` files (AKA "libraries") that co-exist alongside the user's `.vm` files
- One of the OS libraries is called `sys`, which includes a method called `init`. The `sys.init` function starts with some OS initialization code (we'll deal with this later, when we discuss the OS), then it does `call f` and enters an infinite loop; If the application was written in the Jack language, then by convention `call f` should be `call Main.main`
- Thus, to bootstrap, the VM implementation has to effect (e.g. in assembly), the following operations:

```
SP = 256           // initialize the stack pointer to 0x0100
call Sys.init     // the initialization function
```

VM implementation over the Hack platform

- Extends the VM implementation proposed in the last lecture (chapter 7)
- The result: a big assembly program with lots of agreed-upon symbols:

<i>Symbol</i>	<i>Usage</i>
SP, LCL, ARG, THIS, THAT	These predefined symbols point, respectively, to the stack top and to the base addresses of the virtual segments <code>local</code> , <code>argument</code> , <code>this</code> , and <code>that</code> .
R13 - R15	These predefined symbols can be used for any purpose.
Xxx.j	Each static variable <code>j</code> in a VM file <code>Xxx.vm</code> is translated into the assembly symbol <code>Xxx.j</code> . In the subsequent assembly process, these symbolic variables will be allocated RAM space by the Hack assembler.
functionName\$label	Each <code>label b</code> command in a VM function <code>f</code> should generate a globally unique symbol " <code>f\$b</code> " where " <code>f</code> " is the function name and " <code>b</code> " is the label symbol within the VM function's code. When translating <code>goto b</code> and <code>if-goto b</code> VM commands into the target language, the full label specification " <code>f\$b</code> " must be used instead of " <code>b</code> ".
(FunctionName)	Each VM function <code>f</code> should generate a symbol " <code>f</code> " that refers to its entry point in the instruction memory of the target computer.
<i>return-address</i>	Each VM function call should generate and insert into the translated code a unique symbol that serves as a return address, namely the memory location (in the target platform's memory) of the command following the function call.

Proposed API

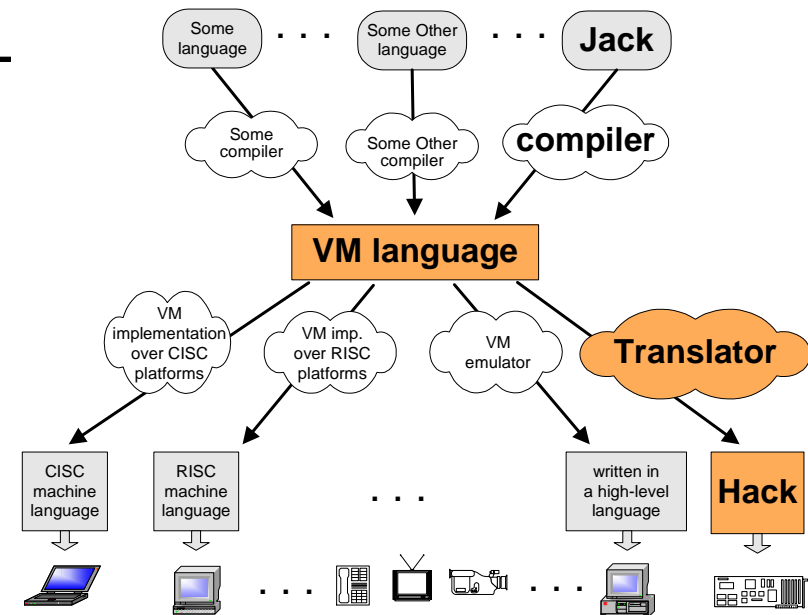
CodeWriter: Translates VM commands into Hack assembly code. The routines listed here should be added to the CodeWriter module API given in chapter 7.

Routine	Arguments	Returns	Function
<code>writeInit</code>	--	--	Writes the assembly code that effects the VM initialization, also called <i>bootstrap code</i> . This code must be placed at the beginning of the output file.
<code>writeLabel</code>	<code>label (string)</code>	--	Writes the assembly code that is the translation of the <code>label</code> command.
<code>writeGoto</code>	<code>label (string)</code>	--	Writes the assembly code that is the translation of the <code>goto</code> command.
<code>writeIf</code>	<code>label (string)</code>	--	Writes the assembly code that is the translation of the <code>if-goto</code> command.
<code>writeCall</code>	<code>functionName (string)</code> <code>numArgs (int)</code>	--	Writes the assembly code that is the translation of the <code>call</code> command.
<code>writeReturn</code>	--	--	Writes the assembly code that is the translation of the <code>return</code> command.
<code>writeFunction</code>	<code>functionName (string)</code> <code>numLocals (int)</code>	--	Writes the assembly code that is the trans. of the given <code>function</code> command.

Perspective

Benefits of the VM approach

- Code transportability: compiling for different platforms requires replacing only the VM implementation
- Language inter-operability: code of multiple languages can be shared using the same VM
- Common software libraries
- Code mobility: Internet
- Modularity:
 - Improvements in the VM implementation are shared by all compilers above it
 - Every new digital device with a VM implementation gains immediate access to an existing software base
 - New programming languages can be implemented easily using simple compilers



Benefits of managed code:

- Security
- Array bounds, index checking, ...
- Add-on code
- Etc.

VM Cons

- Performance.